

**COURSE TITLE: FINANCIAL MARKETS AND INSTITUTIONS**

**COURSE CODE: MBAFA 7304**

**HOURS TAUGHT: 3 HRS PER WEEK**

**PREREQUISITES: NONE**

### **PURPOSE OF COURSE**

The course will enable students with knowledge and the necessary managerial skills that will enable them manage the organizational financial activities both effectively and efficiently.

### **EXPECTED LEARNING OUTCOMES**

By the end of the course students will be able to:

- Explain the importance of financial markets in both microenvironments.
- Justify the major players in the financial markets.
- Discuss stock offering and investor monitoring.
- Explain market microstructure and strategies.

### **COURSE CONTENT**

#### **Overview of the Financial Environment**

- Definition of Financial markets
- Role of financial markets
- Major players in the financial markets.

#### **Equity markets**

- Stock offering and investor monitoring
- Stock evaluation and risk
- Market microstructure and strategies

#### **Debt security markets**

- Money markets
- Bond markets
- Bond valuation and risk

- Mortgage markets

### **Derivative security markets**

- Financial future markets
- Option markets
- Interest rate derivative markets
- Foreign exchange derivative markets

### **Commercial Banking**

- Commercial bank operations
- Bank regulation
- Bank management
- Bank performance
- International banking

### **Non – Bank Operations**

- Thrift operations
- Consumer finance operations
- Mutual fund operations
- Securities operations
- Insurance operations
- Pension fund operations
- Credit Unions

### **MODE OF DELIVERY**

- Lectures
- Reading assignments
- Practical assignments
- Field trips
- Documentaries

### **INSTRUCTIONAL MATERIALS AND / OR EQUIPMENT**

- Whiteboard and Markers
- Flip Charts
- LCD Projectors

- CDs, DVDs and Tapes

## **COURSE ASSESSMENT**

- Continuous assessments tests 20%
- Group and individual project (course work) 20%
- End of Semester Examination 60%

## **READING MATERIALS / LIST**

Alexander, Gordon. and Sharpe.William.F. Fundamentals of investments, Englewood Cliffs.

Bhalla.V.K. investment Management: Security Analysis and Portfolio Management,  
Bhalla.V.K. Investment Management: Security Analysis and Portfolio Management,Schand.

Bhalla.V.K. (2002). Portfolio Analysis and Management, S Chand,

Elton.Edwin.J. and Gruber.Martin.J (1984). Modern Portfolio Theory and investment Analysis, New York, John Wiley,

Fischer.Donald.E. and Jordan, Ronald, (1995). Security Analysis and Portfolio Management, PHI

Fuller.Russell.J. and Farrell, James. (1993). Modern Investment and Security Analysis, MGH,

Haugen.Robert.H. (1987). Modern Investment Theory, Englewood Cliffs, Prentice Hall inc., Lee.Cheng.F (1990). Security analysis and portfolio management Scott, Foresman,