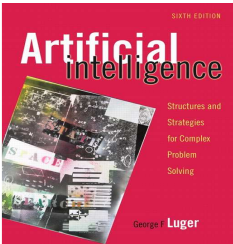


3

Structures and Strategies For Space State Search



George F Luger

ARTIFICIAL INTELLIGENCE 6th edition

Structures and Strategies for Complex Problem Solving

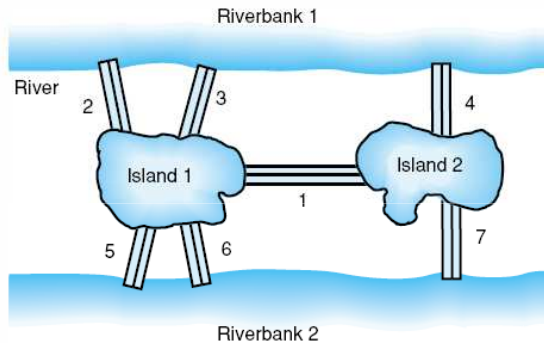
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Introduction

- Predicate calculus
 - provides a means to describe facts and relations in a problem domain mathematically
 - Uses rules to infer new knowledge
- The inference rules define a space that is searched to find a problem solution
- State space search theory provides a visual approach for finding a solution to the space search problem
 - Represent problem as a state graph
 - Use graph theory to analyze the structure and complexity of the problem and search procedure

2

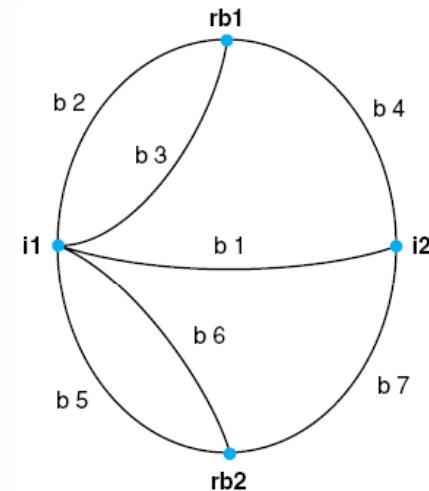
Figure 3.1: The city of Königsberg.



Is there a walk around the city that crosses each bridge exactly once?

3

Figure 3.2: Graph of the Königsberg bridge system.



The graph preserves the structure of bridges, while ignoring extraneous features such as bridge lengths, distances, etc.

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Bridges of Königsberg Problem

- Alternatively, the Königsberg bridge system can be represented using predicate calculus – each arc in the graph is represented by the `connect` predicate:
 - `connect(i1, i2, b1)`
 - `connect(rb1, i1, b2)`
 - `connect(rb1, i1, b3)`
 - `connect(rb1, i2, b4)`
 - `connect(rb2, i1, b5)`
 - `connect(rb2, i1, b6)`
 - `connect(rb2, i2, b7)`

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Bridges of Königsberg Problem

- However the structure of the problem can be visualized more directly in the graph representation
- Euler noted that unless a graph contains either zero or two nodes of odd degree, the walk is impossible (the degree of a node is the number of arcs connecting the node)

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Graph Theory

- A graph is a set of nodes or states and a set of arcs that connect the nodes
- A labeled graph has one or more descriptors (labels) attached to each node
- In a state space graph, the descriptors identify states in a problem-solving process
- The arcs may also be labeled
- Arc labels indicate named relationships or attach weights to arcs

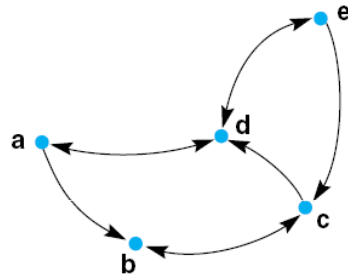
7

Graph Theory

- A graph is directed if arcs have directions (Fig. 3.3)
- A path through a graph connects a sequence of nodes through successive arcs ([a, b, c, d] in Fig. 3.3)
- A rooted graph has a unique node, root, such that there is a path from the root to all nodes within the graph (Fig. II.5)
- A tree is a graph in which two nodes have at most one path between them (Fig. 3.4)

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Figure 3.3: A labeled directed graph.



Nodes = {a,b,c,d,e}

Arcs = {(a,b),(a,d),(b,c),(c,b),(c,d),(d,a),(d,e),(e,c),(e,d)}

Figure II.5: Portion of the state space for tic-tac-toe.

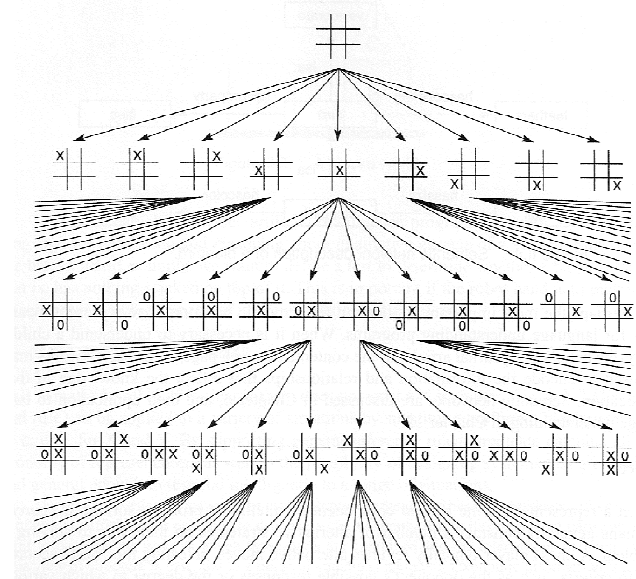
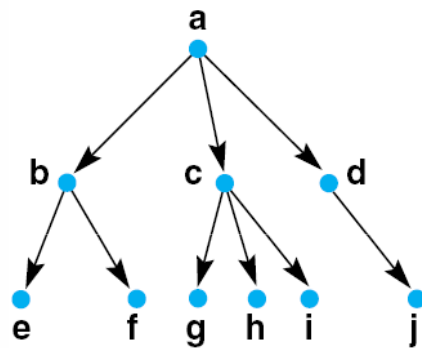


Figure 3.4: A rooted tree, exemplifying family relationships.



b is a parent of **e** and **f**

e and **f** are children of **b** and siblings of each other

a and **c** are ancestors of **g**, **h** and **i**

g, **h** and **i** are descendants of **a** and **c**

DEFINITION

GRAPH

A graph consists of:

A set of *nodes* $N_1, N_2, N_3, \dots, N_n, \dots$, which need not be finite.

A set of *arcs* that connect pairs of nodes.

Arcs are ordered pairs of nodes; i.e., the arc (N_3, N_4) connects node N_3 to node N_4 . This indicates a direct connection from node N_3 to N_4 but not from N_4 to N_3 , unless (N_4, N_3) is also an arc, and then the arc joining N_3 and N_4 is undirected.

If a directed arc connects N_j and N_k , then N_j is called the *parent* of N_k and N_k , the *child* of N_j . If the graph also contains an arc (N_j, N_i) , then N_k and N_i are called *siblings*.

A *rooted* graph has a unique node N_s from which all paths in the graph originate. That is, the root has no parent in the graph.

A *tip* or *leaf* node is a node that has no children.

An ordered sequence of nodes $[N_1, N_2, N_3, \dots, N_n]$, where each pair N_i, N_{i+1} in the sequence represents an arc, i.e., (N_i, N_{i+1}) , is called a *path* of length $n - 1$.

On a path in a rooted graph, a node is said to be an *ancestor* of all nodes positioned after it (to its right) as well as a *descendant* of all nodes before it.

A path that contains any node more than once (some N_i in the definition of path above is repeated) is said to contain a *cycle* or *loop*.

A *tree* is a graph in which there is a unique path between every pair of nodes. (The paths in a tree, therefore, contain no cycles.)

The edges in a rooted tree are directed away from the root. Each node in a rooted tree has a unique parent.

Two nodes are said to be *connected* if a path exists that includes them both.

The Finite State Machine (FSM)

- It is a finite, directed, connected graph
- It has a set of states, a set of input values, and a state transition function describing the effect of input stream on the states of the graph
- It is primary used to recognize components of a formal language (often “words” made from characters of an “alphabet”)

DEFINITION

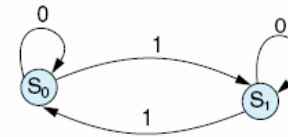
FINITE STATE MACHINE (FSM)

A *finite state machine* is an ordered triple (S, I, F) , where:

S is a finite set of *states* in a connected graph $s_1, s_2, s_3, \dots, s_n$.

I is a finite set of *input* values $i_1, i_2, i_3, \dots, i_m$.

F is a state transition function that for any $i \in I$, describes its effect on the states S of the machine, thus $\forall i \in I, F_i: (S \rightarrow S)$. If the machine is in state s_j and input i occurs, the next state of the machine will be $F_i(s_j)$.



(a)

	0	1
S ₀	S ₀	S ₁
S ₁	S ₁	S ₀

(b)

Fig 3.5 (a) The finite state graph for a flip flop and (b) its transition matrix.

DEFINITION

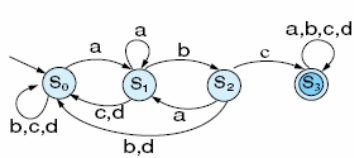
FINITE STATE ACCEPTOR (MOORE MACHINE)

A *finite state acceptor* is a finite state machine (S, I, F) , where:

$\exists s_0 \in S$ such that the input stream starts at s_0 , and

$\exists s_n \in S$, an *accept* state. The input stream is accepted if it terminates in that state. In fact, there may be a set of accept states.

The finite state acceptor is represented as $(S, s_0, \{s_n\}, I, F)$



(a)

	a	b	c	d
S ₀	S ₁	S ₀	S ₀	S ₀
S ₁	S ₁	S ₂	S ₀	S ₀
S ₂	S ₁	S ₀	S ₃	S ₀
S ₃	S ₃	S ₃	S ₃	S ₃

(b)

Fig 3.6 (a) The finite state graph and (b) the transition matrix for string (*abc*) recognition example

The State Space Representation of Problems

- A **state space** representation of a problem is a directed graph where the nodes correspond to partial problem solution states, and the arcs correspond to steps in a problem solving process
- State space search characterizes problem solving as a process of finding a solution path from a start state to a goal state

DEFINITION

STATE SPACE SEARCH

A *state space* is represented by a four-tuple $[N, A, S, GD]$, where:

N is the set of nodes or states of the graph. These correspond to the states in a problem-solving process.

A is the set of arcs (or links) between nodes. These correspond to the steps in a problem-solving process.

S , a nonempty subset of N , contains the start state(s) of the problem.

GD , a nonempty subset of N , contains the goal state(s) of the problem. The states in GD are described using either:

1. A measurable property of the states encountered in the search.
2. A property of the path developed in the search, for example, the transition costs for the arcs of the path.

A *solution path* is a path through this graph from a node in S to a node in GD .

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Tic-Tac-Toe Example

- The start state (**S**) is an empty board (Figure II.5)
- The goal description (**GD**) is a board state having three Xs in a row, column, or diagonal
- The path from the start state to a goal state gives the series of moves in a winning game
- The states (**N**) of the space are all the different configurations of Xs and Os the game can have (3^9)
- Arcs (**A**) corresponds to legal moves of the game, alternating between placing an X and an O in an unused location
- Total number of paths = 9!

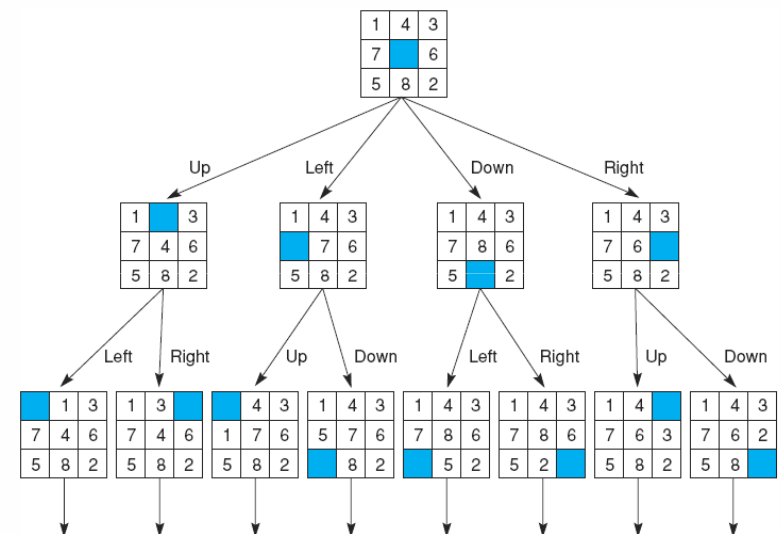
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The 8-Puzzle Example

- 8 differently numbered tiles are fitted into 9 spaces. One space is left blank so that tiles can be moved around to form different patterns
- The goal is to find a series of moves of tiles to place the board in a goal configuration
- Number of states of the space = 9!

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Fig 3.8 State space of the 8-puzzle generated by “move blank” operations



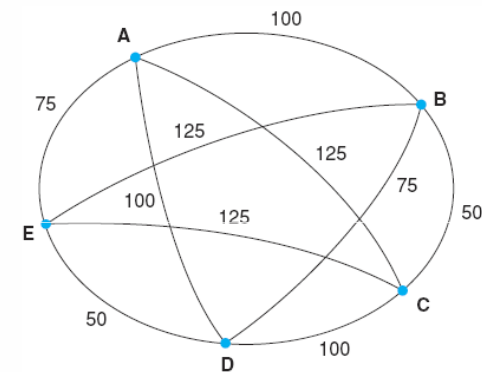
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The Traveling Salesperson Example

- A salesperson needs to visit five cities and then return home
- The goal of the problem is to find the shortest path for the salesperson to travel, visiting each city, and return to the starting city

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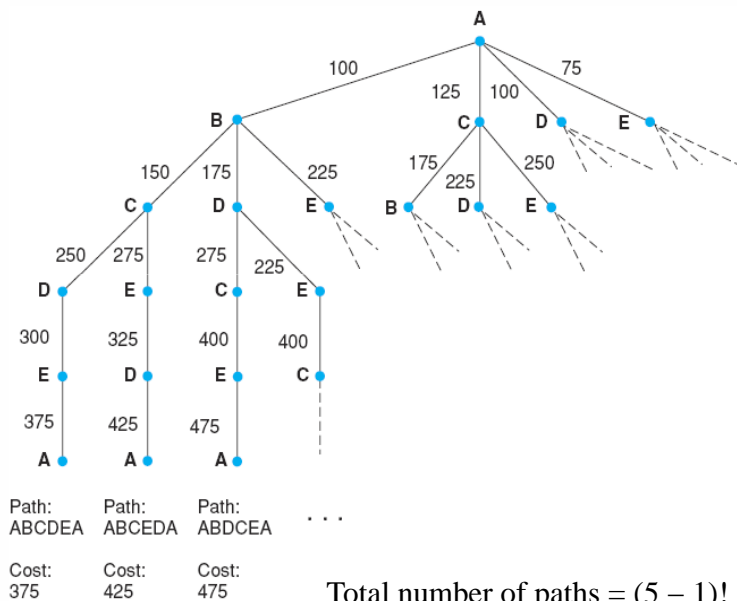
Fig 3.9 An instance of the travelling salesperson problem



- The nodes of the graph represent the cities.
- The labels on the arcs represent the distances.
- Assume the salesperson lives in city A.

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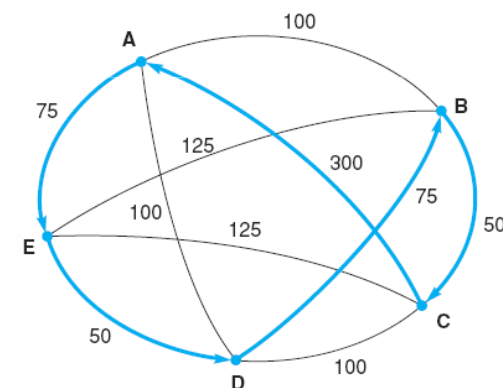
Fig 3.10 Search for the travelling salesperson problem. Each arc is marked with the total weight of all paths from the start node (A) to its endpoint.



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Fig 3.11:

An instance of the travelling salesperson problem with the nearest neighbor path in bold. Note this path (A, E, D, B, C, A), at a cost of 550, is not the shortest path. The comparatively high cost of arc (C, A) defeated the heuristic. (**The rule is “go to the closest unvisited city”.**)



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Strategies for State Space Search

- A state space may be searched in two directions
 - From the given data of a problem toward a goal (**data-driven** search or forward chaining)
 - From a goal back to the data (**goal-driven** reasoning or backward chaining)

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Strategies for State Space Search

- Data-driven reasoning
 - Takes the facts and applies the rules or legal moves to produce new facts
 - New facts are used by the rules to generate more new facts
 - This process continues until it generates a path that leads to a goal condition

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Strategies for State Space Search

- Goal-driven reasoning
 - Takes the goal, finds the rules that produce the goal and determines what conditions must be true to use them
 - The conditions become the new goal for search
 - Search continues backward through successive rules and subgoals until it works back to the facts of the problem

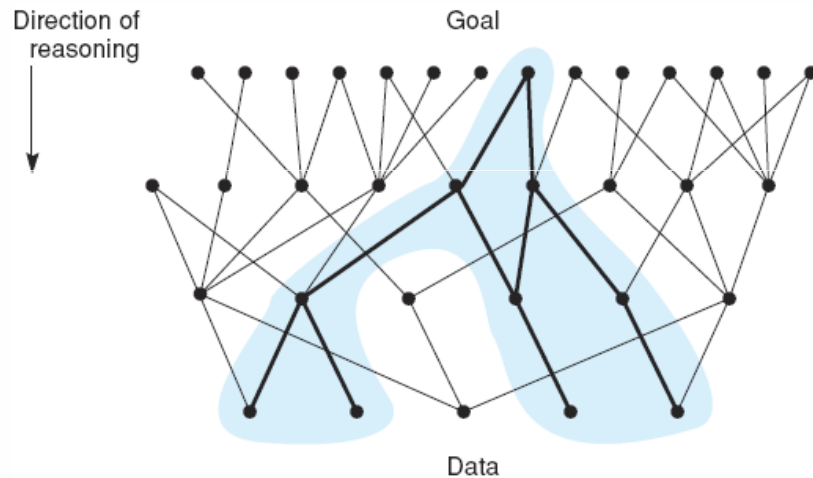
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Comparison of Search Strategies

- Both data-driven and goal-driven problem solver search the same state space graph
- The order and actual number of states searched can be different
- The preferred strategy is determined by the properties of the problem itself
- For example:
 - Prove “I am a descendent of Thomas Jefferson.”

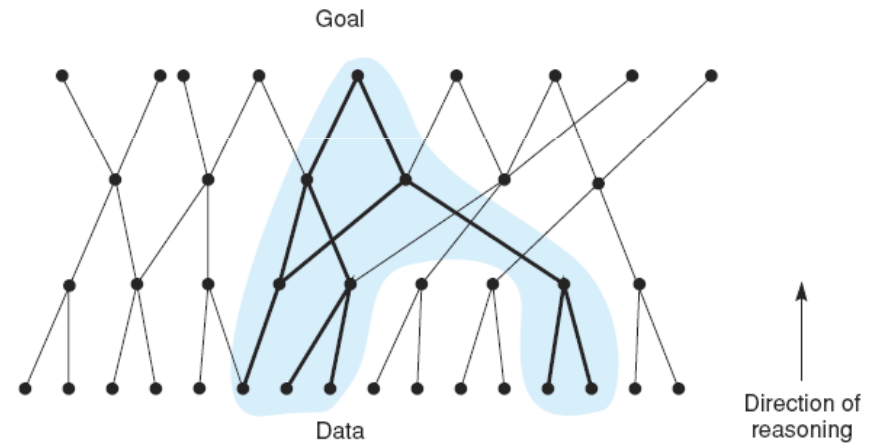
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Fig 3.12 State space in which goal-directed search effectively prunes extraneous search paths.



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Fig 3.13 State space in which data-directed search prunes irrelevant data and their consequents and determines one of a number of possible goals.



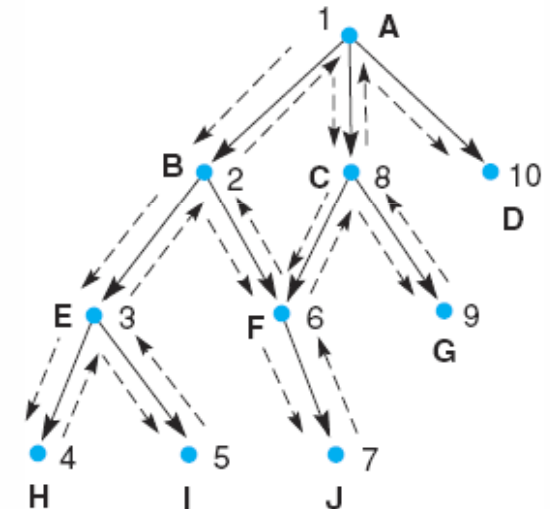
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Implementing Graph Search

- The problem solver must find a path from a start state to a goal state through the state space graph
- The sequence of arcs in this path correspond to the ordered steps of the solution
- **Backtracking** is a technique for systematically trying all paths through a state space
 - Begins at a start state and pursues a path until it reaches either a goal or a “dead end”
 - If it reaches a dead end, it backtracks to the most recent node on the path with unexamined siblings and continues searching

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Fig 3.14 Backtracking search of a hypothetical state space.



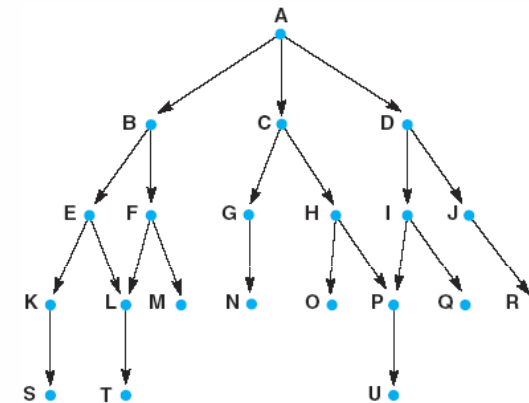
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Depth-First and Breath-First Search

- Data-driven and goal-driven specifies the search direction; **depth-first** and **breath-first** determines the search order
- Depth-first search examines the children and the descendants of a state before examining the siblings; it goes deeper whenever possible
- Breath-first search explores the space in a level-by-level fashion. It moves to the next level only when no more states can be explored

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Fig 3.15 Graph for breadth - and depth - first search examples

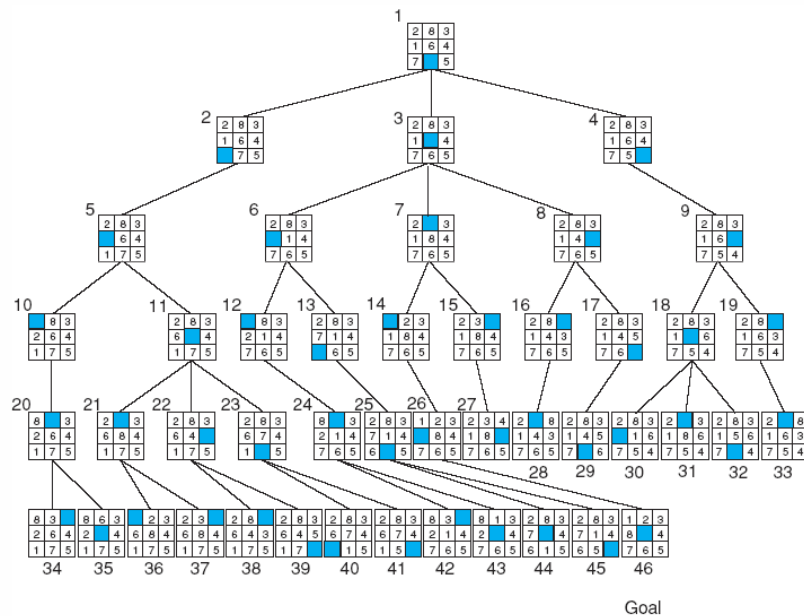


Depth-first: A, B, E, K, S, L, T, F, M, C, G, N, H, O, P, U, D, I, Q, J, R

Breath-first: A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U

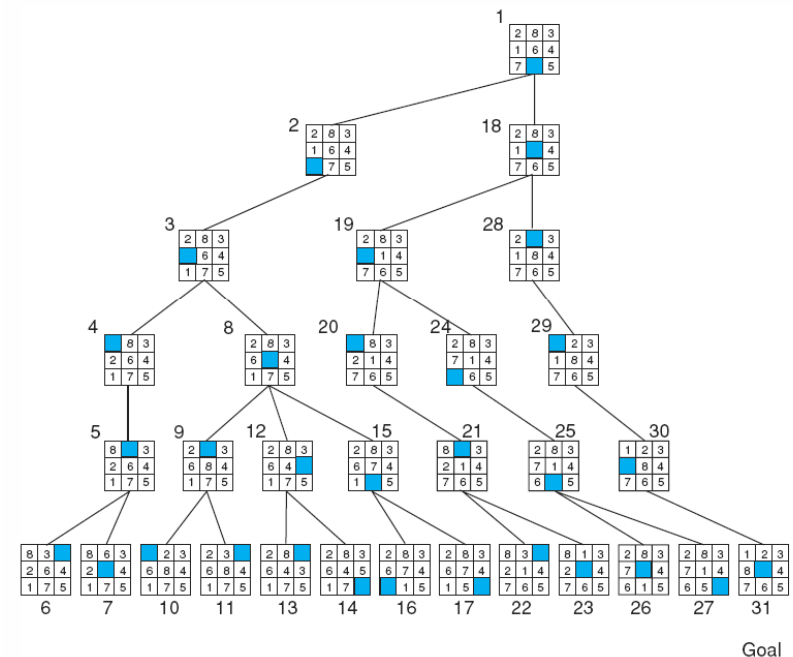
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Fig 3.17 Breadth-first search of the 8-puzzle, showing order in which states were searched.



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Fig 3.19 Depth-first search of the 8-puzzle with a depth bound of 5.



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Depth-First and Breath-First Search

- Breath-first search
 - Because it always examines all nodes at level n before proceeding to level $n+1$, it always finds the shortest path to a goal node
 - All unexpanded nodes for each level of search must be kept in memory
 - If states have a high average number of children, the combinatorial explosion of states may prevent the algorithm from finding a solution using available memory

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Depth-First and Breath-First Search

- Depth-first search
 - If the solution path is long, it will not waste time searching a large number of “shallow” states in the graph
 - Can get “lost” deep in a graph, missing shorter paths to a goal or even becoming stuck in an infinitely long path that does not lead to a goal
 - Much more efficient use of search spaces because it does not need to keep all the nodes at a given level on the memory (it retains only the children of a single state)

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Depth-First Search with Iterative Deepening

- A nice compromise on the trade-offs of the depth-first and breadth-first search
- Performs a depth-first search of the space with depth bound of 1
- If it fails to find a goal, it performs another depth search with a depth bound of 2
- This continues until a goal is found
- It is guaranteed to find a shortest path to a goal
- It uses less memory space for storing the states

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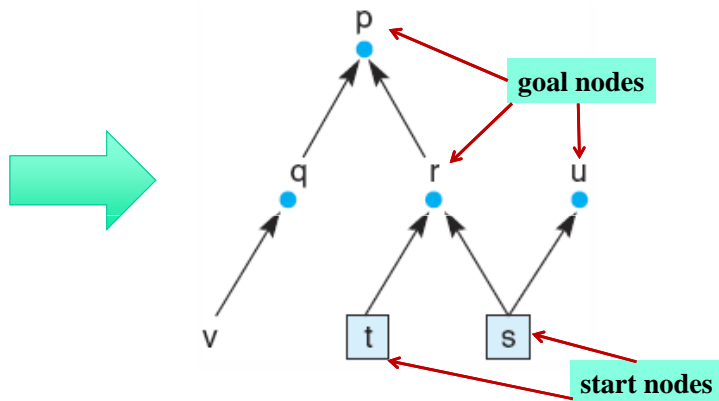
State Space Description of a Logic System

- Symbols and predicates in propositional and predicate calculus can be represented using the nodes of a state space graph
- Inference rules can be described by the arcs between states
- Problems in the predicate calculus may be solved by searching the state space

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Fig 3.20 State space graph of a set of implications in the propositional calculus.

$q \rightarrow p$
 $r \rightarrow p$
 $v \rightarrow q$
 $s \rightarrow r$
 $t \rightarrow r$
 $s \rightarrow u$
 s
 t



Determining if a proposition is true requires the finding of a path from a start node to the proposition

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And/Or Graph

- In previous example all assertions were in the form of $q \rightarrow p$
- And/Or graph is an extension to the basic graph (see Graph Theory in the beginning)
- It allows logic operators **or** and **and** to be represented in the graph
 - **and** node: $q \wedge r$
 - **or** node: $q \vee r$

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Fig 3.21 And/or graph of the expression $q \wedge r \rightarrow p$
Both q and r must be true for p to be true.

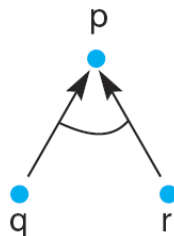
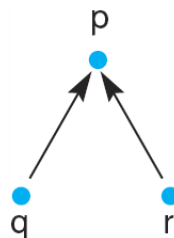


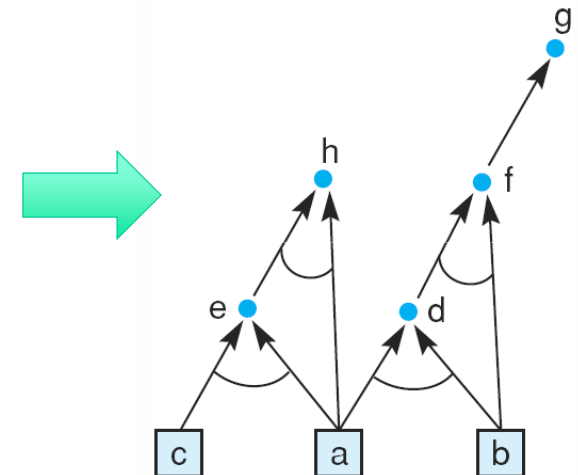
Fig 3.22 And/or graph of the expression $q \vee r \rightarrow p$
The truth of either q or r is sufficient to prove p is true.



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And/Or Graph Search Example

a
 b
 c
 $a \wedge b \rightarrow d$
 $a \wedge c \rightarrow e$
 $b \wedge d \rightarrow f$
 $f \rightarrow g$
 $a \wedge e \rightarrow h$



1. Is **h** true?
2. Is **h** true if **b** is no longer true?
3. What is the shortest path to show **h** is true?

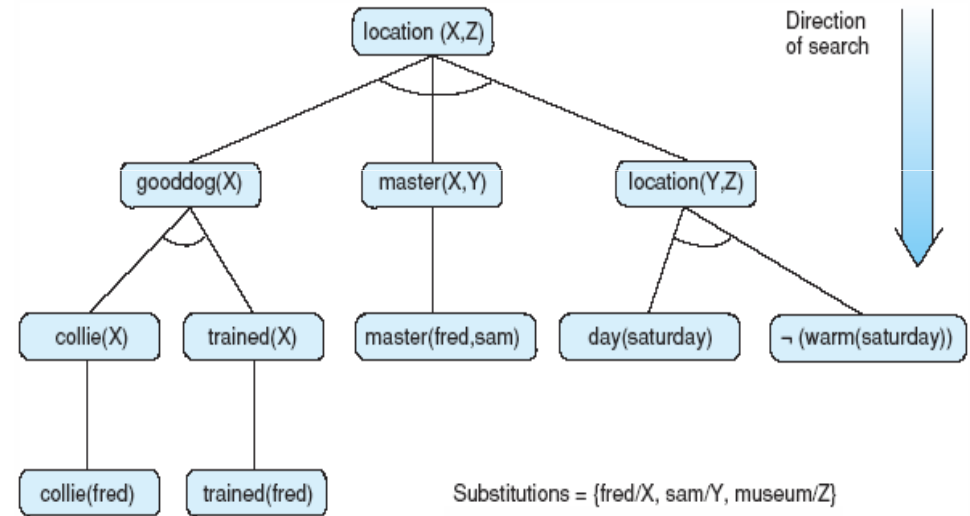
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Fred and Sam Example

1. Fred is a collie.
collie(fred).
2. Sam is Fred's master.
master(fred,sam).
3. The day is Saturday.
day(saturday).
4. It is cold on Saturday.
 \neg (**warm(saturday)**).
5. Fred is trained.
trained(fred).
6. Spaniels are good dogs and so are trained collies.
 $\forall X[\text{spaniel}(X) \vee (\text{collie}(X) \wedge \text{trained}(X)) \rightarrow \text{gooddog}(X)]$
7. If a dog is a good dog and has a master then he will be with his master.
 $\forall (X,Y,Z) [\text{gooddog}(X) \wedge \text{master}(X,Y) \wedge \text{location}(Y,Z) \rightarrow \text{location}(X,Z)]$
8. If it is Saturday and warm, then Sam is at the park.
 $(\text{day(saturday)} \wedge \text{warm(saturday)}) \rightarrow \text{location(sam,park)}.$
9. If it is Saturday and not warm, then Sam is at the museum.
 $(\text{day(saturday)} \wedge \neg (\text{warm(saturday)})) \rightarrow \text{location(sam,museum)}.$

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- Goal expression: $\exists X \text{ location}(\text{fred}, X)$, or “where is Fred?”
- Assume the problem solver tries rules in order
- The solution **subgraph** shows that Fred is at the museum.



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The Financial Advisor Revisited

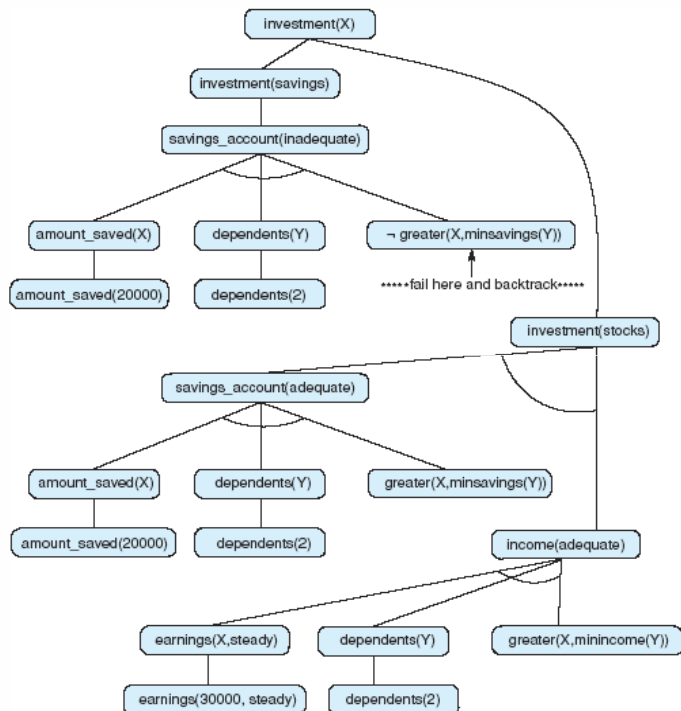
- Assume:
 - # of dependents = 2
 - Amount of saving = \$20,000
 - A steady income of \$30,000
 - minsavings(X) $\equiv 5000 \times X$
 - minincome(X) $\equiv 15000 + (4000 \times X)$
- Two ways to obtain the above facts:
 - Add facts as predicates to the database
 - Run the program first and let the program ask the user to enter the facts as needed

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1. **savings_account(inadequate) \rightarrow investment(savings).**
2. **savings_account(adequate) \wedge income(adequate) \rightarrow investment(stocks).**
3. **savings_account(adequate) \wedge income(inadequate) \rightarrow investment(combination).**
4. $\forall \text{ amount_saved}(X) \wedge \exists Y (\text{dependents}(Y) \wedge \text{greater}(X, \text{minsavings}(Y))) \rightarrow \text{savings_account(adequate)}.$
5. $\forall X \text{ amount_saved}(X) \wedge \exists Y (\text{dependents}(Y) \wedge \neg \text{greater}(X, \text{minsavings}(Y))) \rightarrow \text{savings_account(inadequate)}.$
6. $\forall X \text{ earnings}(X, \text{steady}) \wedge \exists Y (\text{dependents}(Y) \wedge \text{greater}(X, \text{minincome}(Y))) \rightarrow \text{income(adequate)}.$
7. $\forall X \text{ earnings}(X, \text{steady}) \wedge \exists Y (\text{dependents}(Y) \wedge \neg \text{greater}(X, \text{minincome}(Y))) \rightarrow \text{income(inadequate)}.$
8. $\forall X \text{ earnings}(X, \text{unsteady}) \rightarrow \text{income(inadequate)}.$
9. **amount_saved(20000).**
10. **earnings(30000, steady).**
11. **dependents(2).**

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Fig 3.26 And/or graph searched by the financial advisor.



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An English Language Parser and Sentence Generator

- A set of rewrite rules for parsing sentences in a subset of English grammar
- Used to determine if a sequence of words is a well-formed sentence (or grammatically correct)
- An expression is well formed in a grammar if it consists of entirely of terminal symbols (words from a dictionary) and can be reduced to the **sentence** symbol through a series of substitutions using the rewrite rules

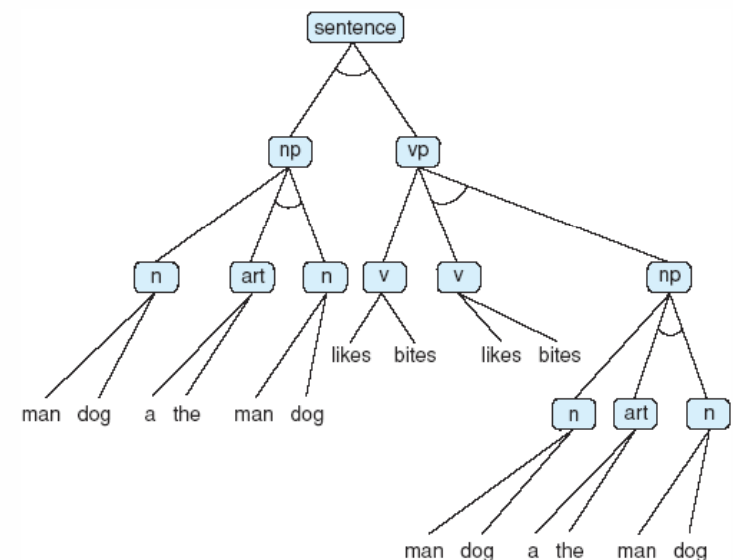
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Five rules for a simple subset of English grammar and some terminals:

1. A sentence is a noun phrase followed by a verb phrase.
sentence \leftrightarrow np vp
2. A noun phrase is a noun.
np \leftrightarrow n
3. A noun phrase is an article followed by a noun.
np \leftrightarrow art n
4. A verb phrase is a verb.
vp \leftrightarrow v
5. A verb phrase is a verb followed by a noun phrase.
vp \leftrightarrow v np
6. art \leftrightarrow a
7. art \leftrightarrow the
("a" and "the" are articles)
8. n \leftrightarrow man
9. n \leftrightarrow dog
("man" and "dog" are nouns)
10. v \leftrightarrow likes
11. v \leftrightarrow bites
("likes" and "bites" are verbs)

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Fig 3.27 And/or graph for the grammar of Example 3.3.6. Some of the nodes (np, art, etc) have been written more than once to simplify drawing the graph.



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Fig 3.28 Parse tree for the sentence “The dog bites the man.” Note this is a subtree of the graph of fig 3.27.

